

## Appendix 3Y

### Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

Name of entity	UNIVERSAL COAL PLC
ARBN	143 750 038

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Anton Weber
Date of last notice	1/7/2013

#### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Sole director and shareholder of Pisces Consulting Limited.
Date of change	Purchase of CDIs: a) 1/4/2014 b) 8/4/2014  Lapse of options - 15/3/14

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<p>No. of securities held prior to change</p>	<p><u>Direct</u>  5,278,608 Ordinary Shares/CDIs  1,000,000 Unlisted Options, ex. price \$0.39, expiry date 9/12/15  1,000,000 Unlisted Options, ex. price \$0.20, expiry date 9/12/15  123,056 Unlisted Options, ex. price \$0.26, expiry date 9/12/15  1,679,349 Unlisted Options, ex. price \$0.40, expiry date 15/3/14  <u>Indirect</u>  128,078 Ordinary shares</p>
<p>Class</p>	<p>Ordinary Shares /CDIs</p>
<p>Number acquired</p>	<p>a) 1,591,667 CDIs  b) 35,000 CDIs</p>
<p>Number disposed</p>	<p>1,679,349 Unlisted Options, ex. price \$0.40, expiry date 15/3/14 (options lapse)</p>
<p>Value/Consideration  Note: If consideration is non-cash, provide details and estimated valuation</p>	<p>a) 11c per CDI  b) Average of 11.8c per CDI  Options lapse - N/A</p>
<p>No. of securities held after change</p>	<p><u>Direct</u>  6,909,787 Ordinary Shares/CDIs  1,000,000 Unlisted Options, ex. price \$0.39, expiry date 9/12/15  1,000,000 Unlisted Options, ex. price \$0.20, expiry date 9/12/15  123,056 Unlisted Options, ex. price \$0.26, expiry date 9/12/15  <u>Indirect</u>  128,078 Ordinary shares</p>
<p>Nature of change  Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</p>	<p>a) Off market purchase  b) On market purchase  Options lapse</p>

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**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	N/A
Interest acquired	N/A
Interest disposed	N/A
Value/Consideration <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	N/A
Interest after change	N/A

**Part 3 – <sup>+</sup>Closed period**

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

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Introduced 30/09/01 Amended 01/01/11

Name of entity	UNIVERSAL COAL PLC
ARBN	143 750 038

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Shammy Luvhengo
Date of last notice	14/1/2014

#### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	N/A
Date of change	15 March 2014
No. of securities held prior to change	2,200,000 CDIs 800,000 Unlisted Options, ex. price \$0.39, expiry date 9/12/15 503,805 Unlisted Options, ex. price \$0.40, expiry date 15/3/14
Class	Unlisted Options
Number acquired	Nil
Number disposed	503,805 – lapse of options

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<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	N/A
<b>No. of securities held after change</b>	800,000 Unlisted Options, ex. price \$0.39, expiry date 9/12/15 2,200,000 CDIs
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Lapse of options

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

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Part 3 – +Closed period

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

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Introduced 30/09/01 Amended 01/01/11

Name of entity	UNIVERSAL COAL PLC
ARBN	143 750 038

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Hendrik Bonsma
Date of last notice	22/10/2012

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	N/A
Date of change	15/3/2014
No. of securities held prior to change (as at date of Appendix 3X – 9/12/2010)	4,109,726 Ordinary Shares 800,000 Unlisted Options, ex. price \$0.39, expiry date 9/12/15 1,000,000 Unlisted Options, ex. price \$0.20, expiry date 9/12/15 21,420 Unlisted Options, ex. price \$0.26, expiry date 9/12/15 1,343,479 Unlisted Options, ex-price \$0.40 expiry date 15/3/14
Class	Unlisted options

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Number acquired	Nil
Number disposed	1,343,479 Unlisted Options lapse
Value/Consideration <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	N/A
No. of securities held after change	4,109,726 Ordinary Shares 800,000 Unlisted Options, ex. price \$0.39, expiry date 9/12/15 1,000,000 Unlisted Options, ex. price \$0.20, expiry date 9/12/15 21,420 Unlisted Options, ex. price \$0.26, expiry date 9/12/15
Nature of change <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	Options lapse

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	N/A
Interest acquired	N/A
Interest disposed	N/A
Value/Consideration <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	N/A
Interest after change	N/A

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Part 3 – +Closed period

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

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Introduced 30/09/01 Amended 01/01/11

Name of entity	UNIVERSAL COAL PLC
ARBN	143 750 038

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	John Hopkins
Date of last notice	19/7/2013

#### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct and Indirect
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	JD&KD Hopkins as trustee for the JD&KD Hopkins Superannuation Fund
Date of change	15/3/2014
No. of securities held prior to change	<u>Direct</u> 800,000 Unlisted Options, ex. price \$0.39, expiry date 9/12/15 335,870 Unlisted Options, ex. price \$0.40, expiry date 15/3/14 <u>Indirect</u> 40,000 CDIs
Class	Chess Depository Instruments (CDIs)

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Number acquired	Nil
Number disposed	335,870 Unlisted Options, ex. price \$0.40, expiry date 15/3/14
Value/Consideration <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	N/A
No. of securities held after change	<u>Direct</u> 800,000 Unlisted Options, ex. price \$0.39, expiry date 9/12/15 <u>Indirect</u> 40,000 CDIs
Nature of change <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	Lapse of options

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	N/A
Interest acquired	N/A
Interest disposed	N/A
Value/Consideration <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	N/A

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Interest after change	N/A
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**Part 3 – +Closed period**

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

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